

2132 \$
JFK

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/814,337
Filing Date	3/21/2001
First Named Inventor	William J. Bolosky
Group Art Unit	2132
Examiner Name	GILBERTO BARRON JR
Attorney Docket Number	MS1-735US

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- | | |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | Fee Transmittal Form |
| <input type="checkbox"/> | Fee Attached |
| <input type="checkbox"/> | Amendment / Reply |
| <input type="checkbox"/> | <input type="checkbox"/> After Final |
| <input type="checkbox"/> | <input type="checkbox"/> Affidavits/declaration(s) |
| <input type="checkbox"/> | Extension of Time Request |
| <input type="checkbox"/> | Express Abandonment Request |
| <input checked="" type="checkbox"/> | Information Disclosure Statement |
| <input type="checkbox"/> | Certified Copy of Priority Documents |
| <input type="checkbox"/> | Response to Missing Parts/ Incomplete Application |
| <input type="checkbox"/> | Response to Missing Parts under 37 CFR 1.52 or 1.53 |

- | | |
|--------------------------|--|
| <input type="checkbox"/> | Drawing(s) |
| <input type="checkbox"/> | Licensing-related Papers |
| <input type="checkbox"/> | Petition |
| <input type="checkbox"/> | Petition to Convert to a Provisional Application |
| <input type="checkbox"/> | Power of Attorney, Revocation Change of Correspondence Address |
| <input type="checkbox"/> | Terminal Disclaimer |
| <input type="checkbox"/> | Request for Refund |
| <input type="checkbox"/> | CD, Number of CD(s) |

- | | |
|-------------------------------------|---|
| <input type="checkbox"/> | After Allowance Communication to Group |
| <input type="checkbox"/> | Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> | Proprietary Information |
| <input type="checkbox"/> | Status Letter |
| <input checked="" type="checkbox"/> | Other Enclosure(s) (please identify below): |
| | Form PTO-1449 and 6 cited references |
| | Return Receipt Postcard |

Remarks

22801

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	Lewis C. Lee/Reg. No. 34656
Signature	
Date	2/3/05

CERTIFICATE OF TRANSMISSION/MAILING

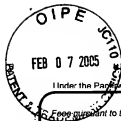
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	LeAnn M. Sassman		
Signature		Date	2/3/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /TG/



Under the Patentmark Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.
Fee pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).
FEE TRANSMITTAL
For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number 09/814,337
Filing Date 3/21/2001
First Named Inventor Bolosky et al.
Examiner Name GILBERTO BARRON JR
Art Unit 2132
Attorney Docket No. MS1-735US

METHOD OF PAYMENT (check all that apply)

- ☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____
- ☒ Deposit Account Deposit Account Number: 12-0769 Deposit Account Name: Lee & Hayes, PLLC
- For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
- ☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
- ☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180
Total Claims	Extra Claims	Fee (\$)
- 20 or HP =	x	50 =
HP = highest number of total claims paid for, if greater than 20		
Indep. Claims	Extra Claims	Fee (\$)
- 3 or HP =	x	200 =
HP = highest number of independent claims paid for, if greater than 3		

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets **Extra Sheets** **Number of each additional 50 or fraction thereof** **Fee (\$)** **Fee Paid (\$)**

____ - 100 = ____ / 50 = ____ (round up to a whole number) x ____ = ____


4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: Information Disclosure Statement

180.00

SUBMITTED BY

Signature  Registration No. 34656 Telephone (509) 324-9256
Name (Print/Type) Lewis C. Lee Date 2/3/05

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No.09/814,337
Filing Date3/21/2001
Confirmation No.3684
InventorshipBolosky et al.
ApplicantMicrosoft Corporation
Group Art Unit2132
ExaminerGILBERTO BARRON JR
Attorney's Docket No.MS1-735US
Title: On-Disk File Format for Serverless Distributed File System with Signed
Manifest of File Modifications

INFORMATION DISCLOSURE STATEMENT

References – See Attached Form PTO-1449

To: Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

From: Lewis C. Lee (Tel. 509-324-9256; Fax 509-323-8979)
Lee & Hayes, PLLC
421 W. Riverside Avenue, Suite 500
Spokane, WA 99201

The attached form PTO-1449 is submitted in compliance with Applicant's duty of disclosure under 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 as set forth in 37 CFR §1.17(p).

Dated: 2/3/05

By: 

Lewis C. Lee
Reg. No. 34656

02/10/2005 DEMAND 00000019 120769 09814337
01 FC:1806 80.00 DA

PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Complete if Paperwork Reduction Act of 1990: no persons are required to respond to a collection of information if it contains a valid OMB Control Number. Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/814,337
		Filing Date	03/21/2001
		First Named Inventor	Bolosky et al.
		Art Unit	
		Examiner Name	
		Attorney Docket Number	MS1-735US
Sheet 1 of 2			

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ² -Number ³ -Kind Code ⁴ (if known)				

Examiner Signature	/Thomas Gyorfii/	Date Considered	10/29/2008
--------------------	------------------	-----------------	------------

* **EXAMINER:** Initial if reference considers whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov/mpep/901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /TG/

